

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	: 10/506,914)
Confirmation	: 5420)
)
Applicants	: Maxted et al.)
I.A. Filed	: March 3, 2003)
)
Title:	Phosphorescent Compositions)
	and Organic Light Emitting Devices)
	Containing Them)
)
Art Unit	: Not yet assigned)
Examiner	: Not yet assigned)
)
Atty Docket	: 30698/CDT413)
Customer No.	: 04743)
)

STATEMENT OF FACTS UNDER 37 C.F.R. § 1.47(a)

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states as follows:

1. I make this statement to provide facts known to me concerning Neil Maxted's unavailability to sign an inventor's declaration for the above-identified patent application.

2. Neil Maxted is one of six named co-inventors of the above-identified patent application. The other named co-inventors, Annette Steudel, Alan Mosley, Mark Andrews, Kai Look, and Nigel Male, have executed declarations for patent application for themselves and on behalf of their non-signing co-inventor. Copies of the declarations are submitted herewith as Appendix A.

3. Neil Maxted's last-known residential address is:

6 White Leys Close
Didcot OX11 7LP
UK

4. On August 31, 2004, I e-mailed Neil Maxted to his last-known e-mail address requesting his current residential postal address. A copy of the e-mail is printed and attached hereto as Exhibit B. No response was ever received from Neil Maxted in reply to the e-mail.

5. Copies of the above-identified patent application (as published under International Publication Number WO 03/074628) and inventors declaration were sent to the last known address for Neil Maxted on October 12, 2004, via DHL courier (cover letter dated October 11, 2004, attached hereto as Exhibit C).

6. On November 3, 2004, I was advised by DHL that they were unable to deliver the October 12, 2004, package to Neil Maxted at his last known address.

7. On December 2, 2004, DHL returned the October 12, 2004, package. A copy of the tracking results for the package are attached hereto as Exhibit D. The tracking results indicate that 23 unsuccessful delivery attempts were made from the period October 13, 2004, to November 29, 2004. The tracking results also indicate that the recipient was not home to receive the delivery. Copies of the outbound and return waybills are attached hereto as Exhibit E.


8. Copies of the above-identified patent application (as published under International Publication Number WO 03/074628) and inventor's declaration were sent to the last known address for Neil Maxted again on April 27, 2005, via Royal Mail with "Proof of Postage" and enclosing a stamped addressed envelope for return (cover letter attached hereto as Exhibit F, and Certificate of Posting attached hereto as Exhibit G).

9. On May 8, 2005, the Post Office returned the envelope, stamped as "addressee unknown" and marked with the notation "not at this address return to sender" (copy attached as Exhibit H).

10. On several occasions from August 2004 until May 2005 I inquired with co-workers and co-inventors for alternative addresses (postal and electronic) for Neil Maxted, but was unable to reach Neil Maxted by any means.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 19 May, 2005
Cambridge, UK


Ann Brown
Intellectual Property Administrator
Cambridge Display Technology

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "PHOSPHORESCENT COMPOSITIONS AND ORGANIC LIGHT EMITTING DEVICES CONTAINING THEM," the specification of which was filed on March 3, 2003, as International Application No. PCT/GB03/00857 and was amended on September 3, 2004. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application for patent or inventor's certificate or of any international application designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any international application designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application of which priority is claimed:

Priority Claimed

0204989.8
(Application Serial Number)

Great Britain
(Country)

4 March 2002
(Day/Month/Year Filed)

☒ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application listed below:

(Application Serial Number)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application or international application designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or international filing date of this application:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and conduct all business in the Patent and Trademark Office connected therewith:

All practitioners at Customer Number 04743

Send correspondence to: James P. Zeller

FIRM NAME	PHONE NO.	STREET	CITY & STATE	ZIP CODE
Marshall, Gerstein & Borun LLP	312-474-6300	6300 Sears Tower 233 South Wacker Drive	Chicago, Illinois	60606-6357

Full Name of First or Sole Inventor Neil Maxted	Citizenship Great Britain
Residence Address - Street 70 Castle Mill House, Juxon Street	Post Office Address - Street 70 Castle Mill House, Juxon Street
City (Zip) Jericho, Oxford OX2 6DW	City (Zip) Jericho, Oxford OX2 6DW
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Second Joint Inventor, if any Annette Steudel	Citizenship German
Residence Address - Street Redwood Mews, Pinehurst South	Post Office Address - Street Redwood Mews, Pinehurst South
City (Zip) Cambridge CB3 9AR	City (Zip) Cambridge CB3 9AR
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/> 14/10/04	Signature <input checked="" type="checkbox"/> A. Steudel

Third Joint Inventor, if any Alan Mosley	Citizenship Great Britain
Residence Address - Street 6 Chiltern Park Avenue	Post Office Address - Street 6 Chiltern Park Avenue
City (Zip) Berkhamsted HP4 1EU	City (Zip) Berkhamsted HP4 1EU
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Fourth Joint Inventor, if any Mark Andrews	Citizenship Great Britain
Residence Address - Street 46 Osborne Close	Post Office Address - Street 46 Osborne Close
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Fifth Joint Inventor, if any Kal Look	Citizenship Australian and German
Residence Address - Street 5 Vicarage Road	Post Office Address - Street 5 Vicarage Road
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Sixth Joint Inventor, if any Nigel Male	Citizenship Great Britain
Residence Address - Street 15 Laburnum House, The Beeches, Woodhead Drive	Post Office Address - Street 15 Laburnum House, The Beeches, Woodhead Drive
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APPLICABLE RULES AND STATUTES

37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application

believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

35 U.S.C. 102. CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless --

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or

(c) he has abandoned the invention, or

(d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or

(f) he did not himself invent the subject matter sought to be patented, or

(g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

35 U.S.C. 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "PHOSPHORESCENT COMPOSITIONS AND ORGANIC LIGHT EMITTING DEVICES CONTAINING THEM," the specification of which was filed on March 3, 2003, as International Application No. PCT/GB03/00857 and was amended on September 3, 2004. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

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Date <input checked="" type="checkbox"/> 19th OCTOBER 2004	Signature <input checked="" type="checkbox"/> Alan Mosley

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(c) he has abandoned the invention, or

(d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or

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(a) ~~the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or~~

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or

(c) he has abandoned the invention, or

(d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or

(f) he did not himself invent the subject matter sought to be patented, or

(g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

35 U.S.C. 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "~~PHOSPHORESCENT COMPOSITIONS AND ORGANIC LIGHT EMITTING DEVICES CONTAINING THEM,~~" the specification of which was filed on March 3, 2003, as International Application No. PCT/GB03/00857 and was amended on September 3, 2004. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

~~I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application for patent or inventor's certificate or of any international application designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any international application designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application of which priority is claimed:~~

Priority Claimed

0204989.8
(Application Serial Number)

Great Britain
(Country)

4 March 2002
(Day/Month/Year Filed)

☒ Yes

☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application listed below:

(Application Serial Number)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application or international application designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or international filing date of this application:

(Application Serial Number)

(Day/Month/Year Filed)

(Status-Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

All practitioners at Customer Number 04743

Send correspondence to: James P. Zeller

FIRM NAME	PHONE NO.	STREET	CITY & STATE	ZIP CODE
Marshall, Gerstein & Borun LLP	312-474-6300	6300 Sears Tower 233 South Wacker Drive	Chicago, Illinois	60606-6357

Full Name of First or Sole Inventor Neil Maxted	Citizenship Great Britain
Residence Address - Street 70 Castle Mill House, Juxon Street	Post Office Address - Street 70 Castle Mill House, Juxon Street
City (Zip) Jericho, Oxford OX2 6DW	City (Zip) Jericho, Oxford OX2 6DW
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Second Joint Inventor, if any Annette Steudel	Citizenship German
Residence Address - Street Redwood Mews, Pinehurst South	Post Office Address - Street Redwood Mews, Pinehurst South
City (Zip) Cambridge CB3 9AR	City (Zip) Cambridge CB3 9AR
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Third Joint Inventor, if any Alan Mosley	Citizenship Great Britain
Residence Address - Street 6 Chiltern Park Avenue	Post Office Address - Street 6 Chiltern Park Avenue
City (Zip) Berkhamsted HP4 1EU	City (Zip) Berkhamsted HP4 1EU
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Fourth Joint Inventor, if any Mark Andrews	Citizenship Great Britain
Residence Address - Street 46 Osborne Close	Post Office Address - Street 46 Osborne Close
City (Zip) Kidlington, Oxford OX5 1TU	City (Zip) Kidlington, Oxford OX5 1TU
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Fifth Joint Inventor, if any Kal Look	Citizenship Australian and German
Residence Address - Street 5 Vicarage Road	Post Office Address - Street 5 Vicarage Road
City (Zip) Oxford OX1 4RB	City (Zip) Oxford OX1 4RB
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/> 7 th November 2004.	Signature <input checked="" type="checkbox"/> <i>Kal Look</i>

Sixth Joint Inventor, if any Nigel Male	Citizenship Great Britain
Residence Address - Street 15 Laburnum House, The Beeches, Woodhead Drive	Post Office Address - Street 15 Laburnum House; The Beeches, Woodhead Drive -
City (Zip) Cambridge CB4 1FY	City (Zip) Cambridge CB4 1FY
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

APPLICABLE RULES AND STATUTES

37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

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- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or

- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or

- (f) he did not himself invent the subject matter sought to be patented, or

- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

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As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "PHOSPHORESCENT COMPOSITIONS AND ORGANIC LIGHT EMITTING DEVICES CONTAINING THEM," the specification of which was filed on March 3, 2003, as International Application No. PCT/GB03/00857 and was amended on September 3, 2004. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

~~I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application for patent or inventor's certificate or of any international application designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any international application designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application of which priority is claimed:~~

Priority Claimed

0204989.8
(Application Serial Number)

Great Britain
(Country)

4 March 2002
(Day/Month/Year Filed)

☒ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application listed below:

(Application Serial Number)

(Day/Month/Year Filed)

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(Application Serial Number)

(Day/Month/Year Filed)

(Status-Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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All practitioners at Customer Number 04743

Send correspondence to: James P. Zeller

FIRM NAME	PHONE NO.	STREET	CITY & STATE	ZIP CODE
Marshall, Gerstein & Borun LLP	312-474-6300	6300 Sears Tower 233 South Wacker Drive	Chicago, Illinois	60606-6357

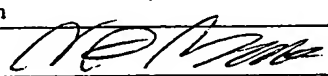
Full Name of First or Sole Inventor Neil Maxted	Citizenship Great Britain
Residence Address - Street 70 Castle Mill House, Juxon Street	Post Office Address - Street 70 Castle Mill House, Juxon Street
City (Zip) Jericho, Oxford OX2 6DW	City (Zip) Jericho, Oxford OX2 6DW
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Second Joint Inventor, if any Annette Steudel	Citizenship German
Residence Address - Street Redwood Mews, Pinchurst South	Post Office Address - Street Redwood Mews, Pinchurst South
City (Zip) Cambridge CB3 9AR	City (Zip) Cambridge CB3 9AR
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Third Joint Inventor, if any Alan Mosley	Citizenship Great Britain
Residence Address - Street 6 Chiltern Park Avenue	Post Office Address - Street 6 Chiltern Park Avenue
City (Zip) Berkhamsted HP4 1EU	City (Zip) Berkhamsted HP4 1EU
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

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Residence Address - Street 5 Vicarage Road	Post Office Address - Street 5 Vicarage Road
City (Zip) Oxford OX1 4RB	City (Zip) Oxford OX1 4RB
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Sixth Joint Inventor, if any Nigel Male	Citizenship Great Britain
Residence Address - Street 15 Laburnum House, The Beeches, Woodhead Drive	Post Office Address - Street 15 Laburnum House, The Beeches, Woodhead Drive
City (Zip) Cambridge CB4 1FY	City (Zip) Cambridge CB4 1FY
State or Country United Kingdom	State or Country United Kingdom
Date <input checked="" type="checkbox"/> 13th Oct. 2004	Signature <input checked="" type="checkbox"/> 

APPLICABLE RULES AND STATUTES

37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

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- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or

- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or

- (f) he did not himself invent the subject matter sought to be patented, or

- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

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A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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35 U.S.C. 112. SPECIFICATION (Applicable Portion)

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Ann Brown

From: Ann Brown
Sent: 31 August 2004 16:28
To: 'neil_maxted@yahoo.co.uk'; 'markandrews_1@hotmail.com'
Subject: National Phase filing in the USA
Categories: 413

Dear Neil and Mark

I work as an Administrator within the IP Department at CDT Oxford and we are in the process of US national filing PCT patent application No. PCT/GB03/00857 for the invention entitled "PHOSPHORESCENT COMPOSITIONS AND ORGANIC LIGHT EMITTING DEVICES CONTAINING THEM" of which you are named Inventors.

In order to complete the formalities on this can you please **URGENTLY send me your residential address**, so that I can add this information to the Declaration and Assignment documents prior to sending them to you for signature as named inventor.

Can you also let me know if you wish the documents for signature mailed to your home address or to you via email for you to print off and sign?

If you have any questions please contact me via return Email or in person on telephone number 01954 713643

Thank you in anticipation of your help in this matter

Ann Brown

A.G. Brown
IP Administrator
CDT Oxford Limited
Building 2020
Cambourne Business Park
Cambridgeshire
CB3 6DW
England UK

Tel: +44 (0) 1954 713643
Fax: +44 (0) 1954 713614

Email: abrown@cdtltd.co.uk
Web: <http://www.cdtltd.co.uk>

C|D|T
OXFORD

CDT Oxford Limited

Building 2020, Cambourne Business Park, Cambridgeshire, CB3 6DW, UK

Tel: +44 (0) 1954 713800 Fax: +44 (0) 1954 713620 www.cdtltd.co.uk

O/Ref: CDT413US

October 11, 2004

FAO Neil Maxted,
3 White Leys Close,
Didcot,
OX11 7LP.

DOCKET	
By:	A. Brown
Date:	12/10/04
Action/Remark	Posted all by P.H.
Action/Date	

Dear Neil

Declaration & Power of Attorney/Assignment for US National phase of PCT/GB03/00857.
Title: "Phosphorescent Compositions And Organic Light Emitting Devices Containing Them"

As a named inventor on the above application we are writing to notify you that a new US patent application has been filed on the above mentioned international application (copy of PCT publication enclosed for your review prior to signing enclosed documents).

In order to complete the necessary filing formalities before the US Patent Office can you please sign and date both documents. In addition please arrange for a witness to sign the Assignment document printing their name under their signature and return to me as soon as possible in the enclosed return stamped envelope.

Thank you for your assistance in this matter. If you have any questions please do not hesitate to contact me on 01954 713643 or via email abrown@cdttd.co.uk.

Kind Regards

A. Brown
Administrator

Kin.

Ann
IP A

Encl.

Tracking Results

Times given are local to the service area in which the shipment checkpoint is recorded.

Air Waybill Number	Origin Service Area	Destination Service Area	Status
3954121864	Cambridge - UK		Returned to shipper as of: November 30, 2004 15:37

3954121864 - Detailed Report

Date	Local Time	Location Service Area	Checkpoint Details
October 12, 2004	16:00	Cambridge - UK	Shipment picked up
October 12, 2004	17:32	Cambridge - UK	Departing origin
October 12, 2004	19:46	Cambridge - UK	Departed from DHL facility in Cambridge - UK
October 12, 2004	21:30	East Midlands - UK	Arrived at DHL facility in East Midlands - UK
October 13, 2004	06:02	East Midlands - UK	Departed from DHL facility in East Midlands - UK
October 13, 2004	07:23	-	Arrived at DHL facility
October 13, 2004	08:22	-	With delivery courier
October 13, 2004	10:50	-	Delivery attempted; recipient not home
October 15, 2004	15:17	-	Shipment on hold
October 18, 2004	10:55	-	Shipment on hold
October 20, 2004	10:54	-	Shipment on hold
October 21, 2004	15:29	-	Shipment on hold
October 22, 2004	14:52	-	Delivery attempted; recipient not home
October 25, 2004	14:58	-	Delivery attempted; recipient not home
October 28, 2004	12:34	-	Delivery attempted; recipient not home
October 29, 2004	14:57	-	Delivery attempted; recipient not home
November 01, 2004	12:51	-	Delivery attempted; recipient not home
November 03, 2004	15:35	-	Delivery attempted; recipient not home
November 05, 2004	15:59	-	Delivery attempted; recipient not home
November 08, 2004	16:18	-	Delivery attempted; recipient not home
November 09, 2004	16:01	-	Delivery attempted; recipient not home
November 10, 2004	15:27	-	Delivery attempted; recipient not home
November 11, 2004	16:19	-	Delivery attempted; recipient not home
November 12, 2004	14:58	-	Delivery attempted; recipient not home
November 15, 2004	16:09	-	Delivery attempted; recipient not home
November 16, 2004	16:16	-	Delivery attempted; recipient not home
November 17, 2004	16:30	-	Delivery attempted; recipient not home
November 18, 2004	16:10	-	Delivery attempted; recipient not home
November 19, 2004	16:20	-	Delivery attempted; recipient not home
November 22, 2004	16:13	-	Delivery attempted; recipient not home
November 23, 2004	16:12	-	Delivery attempted; recipient not home

November 24, 2004 16:30	-	Delivery attempted; recipient not home
November 26, 2004 16:30	-	Delivery attempted; recipient not home
November 29, 2004 16:17	-	Delivery attempted; recipient not home
November 30, 2004 15:37	-	Returned to shipper

► Track another shipment

For tracking enquiries, please contact us via our **► Comments page**.

Track this shipment via the DHL Web Site: <http://www.dhl.com>

Shipment Air Waybill

395 4121 954

ORIGIN
CBG

DESTINATION CODE

OXF

Payer account number and insurance details

Charge to ☐ Shipper ☐ Receiver ☐ 3rd party

Payment Account No. ☐ Cash ☐ Cheque ☐ Credit Card

Shipment insurance see reverse

☐ Yes ☐ No

From (Shipper)

Shipper's account number: 135598401

Contact name: C. Ellis

Shipper's reference (up to 32 characters but only first 12 will be shown on invoice): #43NM/LGEC/AB

Company name: CAMBRIDGE DISPLAY TECHNOLOGY

Address: BUILDING 2020, CAMBOURNE BUSINESS PARK, CAMBRIDGESHIRE, UNITED KINGDOM

Postcode/Zip Code (required): CB3 6DW

Phone, Fax or E-mail (required): 01954 713600

To (Receiver)

1 Shipment details

Total number of packages: 1

Total weight: 0.5

Dimensions (mm): Length: 100, Width: 100, Height: 100

2 Full description of contents

Give content and quantity: DOCUMENTS

3 Dutiable shipments only (WPX) (Customs Requirement)

Attach the original and for copies of a Proforma or Commercial Invoice

Shipper's VAT/GST number: Receiver's VAT/GST or Shipper's EIN/SSN

Declared value for Customs: GBP

Harmonized Commodity Code (if applicable):

TYPE OF EXPORT: ☐ Permanent ☐ Repair/Return ☐ Temporary

Destination duties/taxes (if left blank receiver pays duties/taxes)

☐ Receiver ☐ Shipper ☐ Other

4 Shipper's agreement (Signature required)

Signature: [Signature]

Date: 10/05/05

PT12/03 GB

6 WHITE LENS CLOSE
DIDCOT

Code/Zip Code (required): OX11 7LP

Country: UK

Phone, Fax or E-mail (required): 01235 510544

Signature: [Signature]

Date: 10/05/05

Track this shipment via the DHL Web Site: <http://www.dhl.com>

Shipment Air Waybill

397 7515 942

ORIGIN
RED

DESTINATION CODE

CBG

Payer account number and insurance details

Charge to ☐ Shipper ☐ Receiver ☐ 3rd party

Payment Account No. ☐ Cash ☐ Cheque ☐ Credit Card

Shipment insurance see reverse

☐ Yes ☐ No

From (Shipper)

Shipper's account number: 135598401

Contact name: C. Ellis

Shipper's reference (up to 32 characters but only first 12 will be shown on invoice): 3954121964

Company name: INTERNATIONAL (OXFORD)

Address: 11-13 ISIS BUSINESS PARK, RD 10, CAMBOURNE BUSINESS PARK, CAMBRIDGESHIRE, UNITED KINGDOM

Postcode/Zip Code (required): CB3 6DW

Phone, Fax or E-mail (required): 01954 713600

To (Receiver)

1 Shipment details

Total number of packages: 1

Total weight: 0.5

Dimensions (mm): Length: 100, Width: 100, Height: 100

2 Full description of contents

Give content and quantity: DOCUMENTS

3 Dutiable shipments only (WPX) (Customs Requirement)

Attach the original and for copies of a Proforma or Commercial Invoice

Shipper's VAT/GST number: Receiver's VAT/GST or Shipper's EIN/SSN

Declared value for Customs: GBP

Harmonized Commodity Code (if applicable):

TYPE OF EXPORT: ☐ Permanent ☐ Repair/Return ☐ Temporary

Destination duties/taxes (if left blank receiver pays duties/taxes)

☐ Receiver ☐ Shipper ☐ Other

4 Shipper's agreement (Signature required)

Signature: [Signature]

Date: 10/05/05

CAMBRIDGE DISPLAY TECHNOLOGY
BUILDING 2020
CAMBOURNE BUSINESS PARK
CAMBRIDGESHIRE

Code/Zip Code (required): CB3 6DW

Country: UK



CDT Oxford Limited

Building 2020, Cambourne Business Park, Cambridgeshire, CB3 6DW, UK

Tel: +44 (0) 1954 713600 Fax: +44 (0) 1954 713620 www.cdtltd.co.uk

O/Ref: CDT413US

April 27, 2005

FAO Neil Maxted,
8 White Leys Close,
Didcot,
OX11 7LP.

Dear Neil

**Declaration & Power of Attorney/Assignment for US National phase of PCT/GB03/00857,
Title: "Phosphorescent Compositions And Organic Light Emitting Devices Containing Them"**

As a named inventor on the above application we are writing to notify you that a new US patent application has been filed on the above mentioned International application (copy of PCT publication enclosed for your review prior to signing enclosed documents).

In order to complete the necessary filing formalities before the US Patent Office can you please sign and date both documents. In addition please arrange for a witness to sign the Assignment document printing their name under their signature.

Please URGENTLY return to me in the enclosed return stamped envelope as the the US Patent Office has set a deadline of 21st May 2005 for return.

Thank you for your assistance in this matter. If you have any questions please do not hesitate to contact me on 01954 713643 or via email abrown@cdtltd.co.uk.

Kind Regards

A handwritten signature in black ink, appearing to read 'Ann Brown'.

Ann Brown
IP Administrator

Encl.

Certificate of Posting

This is a receipt for 1st and 2nd Class inland letters and ordinary overseas items sent by Air or Surface mail. Keep it safe - if you need to make a compensation claim you will need to produce this certificate.

1st or 2nd Class post should not be used for sending money or valuable items - use Special DeliveryTM. If sending money or valuables overseas, please ask at your local Post Office[®] branch for advice on the best service to use.

Please write the name, address and postcode for each item you're sending in the box below (in ink).

number of items

1 (ONE)

staff initials

date stamp

name

address and postcode

NEIL MAXTED
6 WHITE LEYS GOSÉ
BACOT, OX11 7LP

please continue on the back (if necessary)



P326 PAD November 04

NOT AT THIS ADDRESS
RETURN TO SENDER.

~~FAO Neil Maxted,
6 White Leys Close,
Dideox
OX11 7LP.~~

Royal Mail	
We were unable to deliver this item because	
<input type="checkbox"/> address has gone away	<input checked="" type="checkbox"/> address is unknown
<input type="checkbox"/> no answer	<input type="checkbox"/> address incomplete
<input type="checkbox"/> address incomplete	<input type="checkbox"/> refused
<input type="checkbox"/> address inaccessible	<input type="checkbox"/> not called for
no such address to	
date	initials
badge number	1004970/0211

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